

## USWCA Conflict of Interest and Code of Ethics Policy Disclosure Statement

PRELIMINARY NOTE: For purposes of this statement, the term "Related Parties" shall include the following:

- any immediate family member or member of your household
- any corporation or organization of which you are an officer or a partner or are, directly or indirectly, the beneficial owner of 5 percent or more of any class of equity securities, or by whom you are employed on a commission or other basis by which you would be in a position, directly or indirectly, to benefit financially from a transaction between USWCA and such corporation or organization
- any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

1. NAME (Please print):

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2. CAPACITY:  Officer  Committee Chair  Parliamentarian  
 Immediate Past President

3. Have you or any of your Related Parties provided services or property to USWCA in the past year, other than in the normal course of your leadership or employment duties?

YES  NO

If yes, please describe the nature of the services or property, and if a Related Party, the nature of your relationship to the Related Party:

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4. Have you or any of your Related Parties purchased services or property from the USWCA in the past year (other than as a member of USWCA and other than items that may be sold in the ordinary course of USWCA's operations to its members and the public)?

YES  NO

If yes, please describe the purchased services or property, and if a Related Party, the nature of your relationship to the Related Party:

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5. Please indicate whether you or any of your Related Parties had, have, or will have any direct or indirect personal or economic interest in any business transaction(s) to which USWCA was or is a party?

\_\_\_\_ YES      \_\_\_\_ NO

If yes, describe the transaction(s), and if a Related Party, the nature of your relationship to the Related Party:

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6. In the past year did you or any of your Related Parties receive, or are entitled to receive, directly or indirectly, any personal benefits from, or as a result of your relationship with, the USWCA that in the aggregate could be valued in excess of \$200 that were not or will not be compensation directly related to your duties to USWCA or benefits from being a member of USWCA?

\_\_\_\_ YES      \_\_\_\_ NO

If yes, please describe the benefit, and if a Related Party, the nature of your relationship to the Related Party:

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7. Are you or any of your Related Parties a party to or have an interest in any pending legal proceedings involving USWCA?

\_\_\_\_ YES      \_\_\_\_ NO

If yes, please describe the proceeding(s), and if a Related Party, the nature of your relationship to the Related Party:

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8. If there is any relationship or matter not disclosed above which might be perceived to compromise your obligations to the USWCA under the USWCA Conflict of Interest and Code of Ethics Policy, or which may raise questions of a conflict between your responsibility to the USWCA and your personal or economic self-interest, please describe that relationship or matter. If none, state, None.

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In signing this Disclosure Statement, I acknowledge receiving and understanding of the USWCA Conflict of Interest and Code of Ethics Policy, and I pledge my full support for the principles and guidelines contained therein. I have completed this Conflict of Interest and Code of Ethics Disclosure Statement completely and to the best of my knowledge.

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SIGNATURE

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USWCA ROLE

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DATE